

10/573022

IAP9 Rec'd PCT/PTO 22 MAR 2006

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of :
Kaoru YOKOTA et al. : **Mail Stop: PCT**
Serial No. NEW : Attorney Docket No. 2006_0363A
Filed March 22, 2006 :

RECORD CARRIER, SYSTEM, METHOD AND
PROGRAM FOR CONDITIONAL ACCESS TO
DATA STORED ON THE RECORD CARRIER
[Corresponding to PCT/JP2004/014993
Filed October 5, 2004]

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

THE COMMISSIONER IS AUTHORIZED
TO CHARGE ANY DEFICIENCY IN THE
FEES FOR THIS PAPER TO DEPOSIT
ACCOUNT NO. 23-0975

Sir:

Pursuant to the provisions of 37 CFR 1.56, 1.97 and 1.98, Applicants request consideration of the references listed on attached form PTO-1449 and any additional information identified below in paragraph 3. A legible copy of each reference listed on the Form PTO-1449 is enclosed, except a copy is not provided for:

- ☒ each U.S. Patent and U.S. Patent application publication;
- ☐ each reference previously cited in the international application
PCT/_____; and/or
- ☐ each reference previously cited in prior parent application Serial No.
_____.

1a. ☒ This Information Disclosure Statement is submitted:

within three months of the filing date (or of entry into the National Stage) of the
above-entitled application, or

ATTACHMENT D

before the mailing of a first Office Action on the merits or the mailing of a first Office Action after the filing of an RCE,

and thus no certification and/or fee is required.

1b. ☐ This Information Disclosure Statement is submitted

after the events of above paragraph 1a and prior to the mailing date of a final Office Action or a Notice of Allowance or an action which otherwise closes prosecution in the application, and thus:

(1) ☐ the certification of paragraph 2 below is provided, **or**

(2) ☐ the fee of \$180.00 specified in 37 CFR 1.17(p) is enclosed.

1c. ☐ This Information Disclosure Statement is submitted:

after the mailing date of a final Office Action or Notice of Allowance or action which otherwise closes prosecution in the application, and prior to payment of the issue fee, and thus:

the certification of paragraph 2 below is provided, and

the fee of \$180.00 specified in 37 CFR 1.17(p) is enclosed.

2. It is hereby certified

- a. ☐ that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Statement, or
- b. ☐ that no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual designated in §1.56(c) more than three months prior to the filing of the Statement.

3. ☐ Consideration of the following list of additional information (including any copending or abandoned U.S. application, prior uses and/or sales, etc.) is requested.
4. For each non-English language reference listed on the attached form PTO-1449, reference is made to:
- a. ☐ a full or partial English language translation submitted herewith,
 - b. ☒ a foreign patent office search report (in the English language) submitted herewith,
 - c. ☒ the concise explanation contained in the specification of the present application at page 2,
 - d. ☒ the concise explanation set forth in the attached English language abstract,
 - e. ☒ the concise explanation set forth below or on a separate sheet attached to the reference:
US 2004/248550 A1 corresponds to DE 101 35 527 A1
5. ☒ A foreign patent office search report citing one or more of the references is enclosed.

Respectfully submitted,

Kaoru YOKOTA et al.

By



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March 22, 2006

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 PTO 32 MAR 2006

FORM PTO 1449 (modified)

U.S. DEPARTMENT OF COMMERCE
 PATENT AND TRADEMARK OFFICE

LIST OF REFERENCES CITED BY APPLICANT(S)
 (Use several sheets if necessary)

Date Submitted to PTO: March 22, 2006

ATTY DOCKET NO.
 2006_0363A

SERIAL NO.
 NEW

10/573022

APPLICANT
 Kaoru YOKOTA et al.

FILING DATE
 March 22, 2006

GROUP

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	6,216,014	04/01	Proust et al.			
	AB	2004/0248550	12/04	Hausner et al.			
	AC						
	AD						
	AC						
	AF						
	AG						
	AH						
	AI						

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO	
	AJ	11-177682	07/99	JP			Abstract	
	AK	2 327 570 A	01/99	GB				
	AL	2 765 985	01/99	FR			Abstract	
	AM	196 45 937 A1	05/98	DE			Abstract	
	AN	101 35 527 A1	02/03	DE			Abstract	
	AO	1 001 640 A1	05/00	EP				

OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)

	AP	
	AQ	

EXAMINER

DATE CONSIDERED

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.